FQRM PTO-1390 (RÉV. 12-2001) ATTORNE DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES VAS-5511A DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5 CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US00/26239 25 Sept. 2000 23 Sept. 1999 TITLE OF INVENTION Pre-Shaped Intraluminal Graft APPLICANT(S) FOR DO/EO/US White, Geoffrey H.; Dehdashtian, Mark; Jiminez, Theodoro; Yu, Weiyun Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau) ((avcfeq (off) have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🖂 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Certificate of Mailing

A second copy of the published international application under 35 U.S.C. 154(d)(4).

A change of power of attorney and/or address letter.

Other items or information:

16.

17.

18.

19.

20. X

U.S. APPLICATION NO. (IT know	Application No. (if howers, seg-2) CFRA.57 RNATIONAL APPLICATION NO. FCT/US00/26239		VAS-5511A		
21.X The following fees are submitted:				CALCULATIONS I	
	FEE (37 CFR 1.49)				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					:
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00				•	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00				!	
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				-	1
ENTE	R APPROPRIA	TE BASIC FEE AMO	UNT =	\$ 890	
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130	
CLAIMS	NUMBER FILED		RATE	\$	
Total claims	14 - 20 =		x \$18.00	\$ -0-	
Independent claims	2 - 3 =	<u></u>	x \$84.00	\$ -0-	
MULTIPLE DEPEN	<del>- ` `- `</del>	<del> </del>	+ \$280.00	\$	<u> </u>
		L OF ABOVE CALCU		\$ 1,020	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	,
			UBTOTAL =	\$ 1,020	
Processing fee of \$130.00 for furnishing the English translation later than 20 anonths from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 1,020	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 1,020	
				Amount to be refunded:	\$
			÷	charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.					
b. Please charge my Deposit Account No. 501225 in the amount of \$ 1,020 to cover the above fees.  A duplicate copy of this sheet is enclosed.					
A duplicate copy of this sheet is enclosed.					
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>501225</u> . A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending states.					
SEND ALL CORRESPONDENCE TO:					
Peter J. Gluck, Reg. No. 38,022 Edwards Lifesciences LLC					luk ly
Law Departme		101			
One Edwards	•	18 127	•		
Irvine, California 92614 (949) 250-6801  REGISTRATION NUMBER					
(545) 250 0001					

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant: WHITE, et al.

Application No.: Unknown

Filing Date: March 21, 2002

Title: PRE-SHAPED INTRALUMINAL GRAFT

File Reference No.: VAS-5511A1

Attn: DO/EO/US

Commissioner of Patents Washington, D.C. 20231

Document(s) Sent:

2 pages of the Transmittal Letter to DO/EO/US

6 pages of the Amendment

1 return postcard

## CERTIFICATE OF MAILING

I hereby certify that the above-identified documents are being deposited with the United States Postal Service as "Express Mail" (EL599143139US) with sufficient postage in an envelope addressed to the EO/EO/US, Commissioner for Patents,

Washington, D.C. 20231 on March 21, 20027

Andrea L. Tezino

Telephone: (949) 250-3614 Fax: (949) 250-6850